Alder Hey Children's NHS Foundation Trust

Reference FOIAH2425/364 Number:

From: Private Individual

**Date:** 07 October 2024

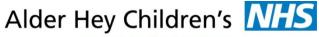
Subject: Exception reports in 2022/23 and 2023/24

- Q1 Does your Trust use "Exception Reports" that are used by doctors when day-to-day work varies significantly and/or regularly from the agreed work schedule?
- A1 Yes
- Q2 If so, how many exception reports were logged as raising an immediate safety concern in the a) 2022/23 and b) 2023/24 financial years?
- A2 2022/23 = Six

2023/24 = The Trust is unable to respond to this element of your request where the response would indicate five or less individuals. The Trust is withholding this information under Section 40 (Personal Information) of the Freedom of Information Act (FOIA) 2000 to reduce the risk of any individuals being identified. The Trust is of the view that disclosure of such information would significantly increase the risk of individuals being identified and as such would constitute a breach of their personal data.

The Trust has applied exemption Section 40(2) of the FOIA and is therefore withholding the information as disclosure which may identify an individual would breach their rights under the Data Protection Act 2018. The grounds for application of this exemption include:

- Any data relating to patients or staff is third party data, furthermore health data is classified as sensitive personal data within the Data Protection Act 2018. As such, Section 40 (2) of the FOIA applies along with the Trusts duty of confidentiality. Therefore under s.2 (3) (f) (ii) of the FOIA, there is an absolute exemption from disclosure on the grounds that it would contravene the First Data Protection Principle.
- The Trust has a duty under the Data Protection Act 2018 and specifically the First Data Protection Principle to ensure personal data regarding staff and patients is processed fairly and lawfully. Disclosure of such data which may identify an individual, either through the data alone or other data in conjunction with that data which may identify an individual would therefore breach this principle.
- The Data Protection Act 2018 defines sensitive personal data to include data relating to the "physical or mental health or condition" of a person. Any such information about specific individuals falls within this category and disclosure of such data including statistical data, with any potential likelihood of identification would breach the Data Protection Act 2018.



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- Q3 With respect only to the financial year 2023/24, please state for each occurrence (a) when the incident took place, (b) which Trust site it related to and (c) provide a detailed, verbatim account of how the doctor described the concern
- A3 As per A2.